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PTO/SB/30 (01-03)
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**Request
For
Continued Examination (RCE)
Transmittal**
Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/964,198
Filing Date	September 25, 2001
First Named Inventor	Bartmann, et al.
Art Unit	2828
Examiner Name	Phillip Nguyen
Attorney Docket Number	7975-0014

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/ Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☒ Other Postcard

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other _____

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to
Deposit Account No. 50-1215

a. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other _____

b. ☒ Check in the amount of \$ 375.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	J. D. Hartman	Registration No. (Attorney/Agent)	31,967
Signature	<i>[Signature]</i>	Date	July 30, 2003
CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.			
Name (Print/Type)	Mary Helen Lopez	Date	July 30, 2003
Signature	<i>[Signature]</i>		

Page 1 of 2
This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Bartman, et al.
 Application No. : 09/964,198
 Filed : September 25, 2001
 Title : **Hybrid Narrow-Linewidth Semiconductor Lasers**
 Grp./Div. : 2828
 Examiner : Phillip Nguyen
 Reference : 0007975-0014

CERTIFICATE OF MAILING (37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the below shown address.

AMENDMENT/RESPONSE TRANSMITTAL LETTER

Box Non-Fee Amendment
 Commissioner for Patents
 PO BOX 1450
 Alexandria, VA 22313-1450
 Enclosed is an amendment to the above-identified application.

CALCULATION OF FEES						
ITEM		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PAID FOR	EXCESS CLAIMS X RATE	SUBTOTAL	FEE
A	TOTAL CLAIM FEES	9	30	0 x \$9		0
B	INDEPENDENT CLAIMS	1	3	0 x \$42		0
C	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B)					
D	MULTIPLE-DEPENDENT CLAIMS FEE***	SMALL ENTITY FEE = \$140.00 LARGE ENTITY FEE = \$280.00			0	
E	TOTAL FILING FEE				0	
F	NO ADDITIONAL FEE REQUIRED****	IF NO FEE REQUIRED, INSERT "0"			0	

List Independent Claims: 1

* IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 20 OR LESS, WRITE "20" IN COLUMN 3
 ** IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 3 OR LESS, WRITE "3" IN COLUMN 3
 *** PAY THIS FEE ONLY WHEN MULTIPLE DEPENDENT CLAIMS ARE ADDED FOR THE FIRST TIME
 **** IF NO FEE REQUIRED, ADDRESS ENVELOPE TO "BOX NON-FEE AMENDMENTS"

Attach is our check for \$ _____ to pay the fees calculated above.

☒ A Petition for Extension of Time and the required fee are enclosed.

Other enclosures:

Authorization and Address for Correspondence:

<input checked="" type="checkbox"/>	No fee is believed to be due. However, the Commissioner is authorized during the prosecution of this application to charge fees that may be required or credit any overpayment of fees to Deposit Account No. 501215, except for payment of patent issue fees required under 37 CFR § 1.18. Please show our above-referenced number with any credit or charge to our Deposit Account. A copy of this letter is enclosed.
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Please address all correspondence to **J.D. Harriman II, COUDERT BROTHERS LLP, 333 South Hope Street, 23rd Floor, Los Angeles, California 90071.**

Respectfully submitted,

COUDERT BROTHERS LLP

Date: July 30, 2003

By:

J. D. Harriman II
 Reg. No. 31,967

COUDERT BROTHERS LLP
 333 South Hope Street, Suite 2300
 Los Angeles, California 90071
 (213) 229-2900

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